

Electronic Acknowledgement Receipt

EFS ID:	8790444
Application Number:	10627626
International Application Number:	
Confirmation Number:	4309
Title of Invention:	Method and apparatus for enabling individual or smaller investors or others to create and manage a portfolio of securities or other assets or liabilities on a cost effective basis
First Named Inventor/Applicant Name:	Steven M.H. Wallman
Correspondence Address:	Bradley J. Meier KENYON & KENYON Suite #700 1500 K Street, N.W. Washington DC 20005 US 2022204200 -
Filer:	Brian S. Mudge
Filer Authorized By:	
Attorney Docket Number:	10392/460043
Receipt Date:	08-NOV-2010
Filing Date:	28-JUL-2003
Time Stamp:	15:49:45
Application Type:	Utility under 35 USC 111(a)

Payment information:

Submitted with Payment	yes
Payment Type	Credit Card
Payment was successfully received in RAM	\$ 1300

RAM confirmation Number	2507				
Deposit Account	110600				
Authorized User	O' DOWD,SHAWN W.				
The Director of the USPTO is hereby authorized to charge indicated fees and credit any overpayment as follows: Charge any Additional Fees required under 37 C.F.R. Section 1.21 (Miscellaneous fees and charges)					
File Listing:					
Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1		10392-460043ResponsetoOAm ailed6-7-10.pdf	73933 a776448d4c0189c0f8ce0268ef0e342e90807ea6	yes	5
	Multipart Description/PDF files in .zip description				
	Document Description		Start	End	
	Amendment After Final		1	1	
	Claims		2	2	
	Applicant Arguments/Remarks Made in an Amendment		3	5	
Warnings:					
Information:					
2	Request for Continued Examination (RCE)	10392-460043RCE.pdf	697870 94cafba4270adef69b9724388d1837ba68185938	no	3
Warnings:					
Information:					
3	Fee Worksheet (PTO-875)	fee-info.pdf	31968 217d713acd6a093f919b072f1136c9710ab39e4c	no	2
Warnings:					
Information:					
Total Files Size (in bytes):			803771		

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.